FORM PTO-1390 (REV. 11-2000)	U S DEPARTMENT OF COM	MERCE PATENT AND TRADEMARK OFFICE	ATTORNEY 'S DOCKET NUMBER			
	IITTAL LETTER	GRC 19.329				
		U.S. APPLICATION NO. (If known, see 37 CFR 1 5				
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			10/030595			
	APPLICATION NO. J00/00917	INTERNATIONAL FILING DATE 02 AUGUST 2000 (02.08.00	PRIORITY DATE CLAIMED ) 09 AUGUST 1999 (09.08.99)			
TITLE OF INVENT			) 07/10/05/11/27 (07:00:27)			
		SWIVEL JOINT				
APPLICANT(S) FO		TRITCHLER, Robert, W., et a				
Applicant herewith s	submits to the United Sta	tes Designated/Elected Office (DO/EC	/US) the following items and other information:			
_		concerning a filing under 35 U.S.C. 37				
2. This is a SE	COND or SUBSEQUE	NT submission of items concerning a fi	ling under 35 U.S.C. 371.			
3. This is an ex items (5), (6	press request to begin no ), (9) and (21) indicated	ational examination procedures (35 U.S below.	S.C. 371(f)). The submission must include			
	•	ration of 19 months from the priority do on as filed (35 U.S.C. 371(c)(2))	ate (Article 31).			
	= =	I only if not communicated by the Inter	national Bureau).			
===	· · ·	the International Bureau.				
	<del>-</del>	cation was filed in the United States Re	eceiving Office (RO/US).			
		ne International Application as filed (35				
	attached hereto.	(				
b. 🔲 ha	s been previously submi	tted under 35 U.S.C. 154(d)(4).				
7. X Amendment	s to the claims of the Inte	ernational Aplication under PCT Article	e 19 (35 U.S.C. 371(c)(3))			
a. 🔲 are	e attached hereto (require	ed only if not communicated by the Inte	ernational Bureau).			
b. 🗶 ha	ve been communicated b	y the International Bureau.				
c. $\square$ ha	ve not been made; howe	ver, the time limit for making such ame	endments has NOT expired.			
d. ha	ve not been made and w	ill not be made.				
8. An English l	anguage translation of th	ne amendments to the claims under PC	Γ Article 19 (35 U.S.C. 371 (c)(3)).			
9. 🔀 An oath or d	leclaration of the invento	r(s) (35 U.S.C. 371(c)(4)).				
	10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 b	elow concern documen	t(s) or information included:				
11. An Inform	ation Disclosure Statem	ent under 37 CFR 1.97 and 1.98.				
12. 🗷 An assigni	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. A FIRST	A FIRST preliminary amendment.					
14. A SECON	ID or SUBSEQUENT pr	eliminary amendment.				
15. A substitu	te specification.		•			
16. A change	A change of power of attorney and/or address letter.					
17. A compute	7. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.					
18. A second	A second copy of the published international application under 35 U.S.C. 154(d)(4).					
19. A second		uage translation of the international approximation of the international approximation of the managements are supported by the contract of the				
20. X Other item	s or information: under	Article 19 and 34.	Filed by Express Mail			
The Commissioner is hereby authorized to charge any  (Receipt No. EL868036075US) on January 8, 2002						
additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1290.  overpayment to Deposit Account No. 50-1290.						
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21. The follow	ing fees are submitted:			CALCULATIONS	PTO USE ONLY	
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MULTIPLE DEPEN	DENT CLAIM(S) (if app	·	+ \$270.00	\$ 1,018,00	-	
Applicant claim are reduced by	s small entity status. See	OF ABOVE CALCU e 37 CFR 1.27. The fees	indicated above	\$ 1,018.00 \$509.00		
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a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 50-1290 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1290. A duplicate copy of this sheet is enclosed.						
d. Fees are to l information	pe charged to a credit car n should not be included	rd. WARNING: Information of this form. Provide of	ation on this form mag	y become public. Cron and authorization of	edit card n PTO-2038.	
NOTE: Where an 1.137 (a) or (b)) mu	appropriate time limit ıst be filed and granted	under 37 CFR 1.494 or to restore the application	1.495 has not been m on to pending status.	et, a petition to revi	ve (37 CFR	
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### SWIVEL JOINT

### BACKGROUND OF THE INVENTION

This invention relates to swivel joints for use in connection with fluid lines and is particularly concerned with swivel joints which enable an attachment fitted to an end of a fluid line to be manually swivelled with respect to the fluid line and to retain the position to which it is swivelled.

10 There are many situations where it is desirable to have a swivelling or pivoting attachment on the end of a fixed fluid line, for instance, on the end of a water pipe. In the domestic environment, such products include shower heads, laundry faucet arms and kitchen faucet arms. Many other domestic and industrial situations also 15 call for similar arrangements but those mentioned are the most common. Of these products, shower heads have the most prevalent problems and the present invention arises out of a desire to overcome those problems. 20 invention will therefore be particularly described with respect to shower heads but it is to be borne in mind that the invention is not limited to such products but has broader ramifications and applications. Such broader ramifications and applications will be readily apparent 25 to the skilled addressee.

### DESCRIPTION OF THE PRIOR ART

The most common type of shower head arrangement on the Australian market is the "all directional" shower This comprises an oval tablet-shaped shower head head. fitted to the end of a relatively long arm. The arm is pivotable through an arc with respect to a fixed water outlet protruding from the shower wall, and the head is pivotable through an arc with respect to a perpendicular housing on the opposite end of the arm. The head is also rotatable through 360° at a position adjacent to where it pivots - hence the name "all directional" shower head.

TOPETAL ETERS

A problem with such shower head arrangements is that following repeated swivelling, either the washers between the swivelling sections wear to such an extent that leakage occurs, or the connections work loose and either leakage occurs or the shower head hangs down limply. Furthermore, the design of such connections make it difficult to tighten the joints sufficiently to prevent leakage and to also orientate the shower head in the desired position.

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In an attempt to address these problems, various improvements have been devised, with the result that leakage is now reduced to some extent. However the connections have not fully addressed the tendency of the head to droop after continued use or ease of adjustment. A partial solution has been to provide a winged hex key for tightening the hinge connection nut however this has a tendency to get mislaid. A recent proposal has been to form a key integral with the connection however from aesthetic considerations the key has to be small and making it small makes it difficult for women, children and the infirm to properly tighten.

# OBJECT OF THE INVENTION

It is therefore an object of the present invention to provide a new form of swivel joint which fully addresses the aforementioned problem and which provides an aesthetically pleasing product which can be economically produced.

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## SUMMARY OF THE INVENTION

According to one aspect of the invention there is provided a swivel joint for connecting to a fluid line comprising a first member having an externally milled barrel and a second member having an internally milled barrel, wherein said second member accommodates said first member in a leak-proof yet relatively rotatable relationship, and wherein the first and second members

are held together by a collar.

According to a second aspect of the invention there is provided a swivel joint for connecting to a fluid line comprising a first element having a barrel with an externally projected milled face, and a second element also having a barrel with an externally projected milled face, wherein a resiliently deformable sealing member is accommodated in one of the faces, and the first and second elements are held together in face-to-face relatively rotatable relationship by means of a collar. Preferably, the resiliently deformable sealing member is an o-ring.

The fluid line typically comprises a pipe with a rotatable attachment on one end thereof, such as the aforementioned "all directional" shower head.

#### DETAILED DESCRIPTION OF THE INVENTION

20 The milling of the barrels is perfected to a fine tolerance to ensure that there is possibility of leakage of fluid between them. to avoid the possibility of "lock up" between the surfaces due to the temperature or composition of the materials in the passaging fluid, a polymeric (for instance teflon 25 66®) or hydrocarbon (for instance high viscosity grease) coating can be applied to one or both of the surfaces. Such "lock up" can also be addressed in the first mentioned embodiment by including an o-ring in a recess formed in the externally milled barrel. An o-ring is however, primarily useful for providing a secondary water-tight seal as well as enabling the joint to be disassembled or "cracked" if such an occasion should arise. Preferably, both a coating and an o-ring are used when the barrels are milled from metal, such as brass. 35 However an o-ring can be useful alone in the case where one or both of the barrels are moulded from high density plastics material such as polytetrafluoroethylene, nylon 66@, or the like.

The barrel milled on the first member in the first of the two embodiments defined above, can be either of a cylindrical or tapered form, preferably the later. A tapered form facilitates assembly and also enables reseating of the barrel if necessary. The barrel milled on the end of the second member will obviously have a 10 complementary shape to that of the first member. A major advantage of the tapered barrel is the mechanical advantage this gives to enable the joint to be tightened up to a stage where it holds the arm and shower head in any position required with relatively light 15 required to tighten the collar.

The barrel milled on the first member or first element can be formed either integrally with the fluid line/attachment or it can be fitted thereto such as by means of a screw threaded connection. Preferably, it is screw threaded to the fluid line/attachment by virtue of an external screw thread on the end of the barrel which mates with an internal screw thread formed in the fluid line/attachment.

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The complementary shaped barrel on the second member or second element can likewise be either formed integrally with the second member or element or it can be fitted thereto by a screw threaded or like connection.

Preferably it is formed integrally with the second member or element.

The collar is suitably a cylindrically shaped housing which holds the first and second members together. Preferably it is a thumb-screw housing enabling the two members to be tightened together to the required relative configuration by an almost effortless finger action. However, it can be fitted in the factory

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in such a manner that finger tightening is not necessary. The former arrangement is preferred as it enables disassembly of the joint and also enables service of the joint if this should ever be necessary during the life of the product.

a preferred form of the invention, collar includes an internal wall, offset from one end thereof, and an internal screw-thread to one side of the wall. The internal wall has an opening through which an 10 externally threaded stem on the end of the first member can extend to enable the first member to be screwed into the fluid line and retain the wall of the collar therebetween. If necessary, a fibre or plastics material 15 washer can be accommodated between the opening in the collar wall and the stem on the first member. Alternatively, or in addition, a coil spring can be located over the stem of the first member.

The internal screw-threaded region of the collar is adapted to connect with an external screw-threaded region on the second barrel of the second member, thereby enabling the two sections of the joint to be held together and tightened or loosened as appropriate.

The swivel joint according to the invention provides a leak-proof joint which can pivot and maintain any desired position which it is pivoted to. Further, in one preferred arrangement, it can be tightened by a simple finger action, thereby meeting the objects of the invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is an exploded cross-sectional view of a first swivel joint according to one aspect of the present invention,

Figure 2 is an assembled cross-sectional view of the swivel joint of Figure 1,

Figure 3 is an assembled exterior view of the swivel joint of Figure 1,

Figure 4 is an exploded cross-sectional view of a second swivel joint according to a first aspect of the present invention,

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Figure 5 is an assembled cross-sectional view of the swivel joint of Figure 4,

Figure 6 is an assembled exterior view of the swivel 15 joint of Figure 4,

Figure 7 is an exploded cross-sectional view of a third swivel joint according to a first aspect of the present invention,

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Figure 8 is an assembled cross-sectional view of the swivel joint of Figure 7,

Figure 9 is an assembled exterior view of the swivel 25 joint of Figure 7,

Figure 10 is an exploded cross-sectional view of a first swivel joint according to a second aspect of the present invention,

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Figure 11 is an assembled cross-sectional view of the swivel joint of Figure 10,

Figure 12 is an assembled exterior view of the swivel 35 joint of Figure 11,

Figure 13 is an exploded cross-sectional view of a second swivel joint according to a second aspect of the present

invention,

Figure 14 is an assembled cross-sectional view of the swivel joint of Figure 13,

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Figure 15 is an assembled exterior view of the swivel joint of Figure 13,

Figure 16 is a side on view of two swivel joints in a 10 water line, and

Figure 17 is a plan view of the arrangement depicted in Figure 16.

#### 15 DESCRIPTION OF THE PREFERRED EMBODIMENTS

Preferred embodiments of the invention will now be described with reference to the accompanying drawings in all of which like reference numerals refer to like parts.

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Referring firstly to Figure 1, the first swivel joint comprises a first member 10, a second member 11 and a collar 12.

- 25 The first member 10 includes an externally tapered brass barrel 13 and an externally screw-threaded stem 14 of reduced diameter. A groove 15 is formed in the lower tapered end of the brass barrel and an o-ring 16 is seated therein. The screw-threaded stem 14 screws into a complementary screw-threaded housing 26 formed on 30 the end of an attachment 27, such as a shower head, in water line. The taper on the barrel 13 is approximately 7° inclusive.
- The second member 11 includes an internally tapered surface 17 which is complementary in shape to the taper on the barrel 13. A screw thread 18 is formed on the external wall of the second member 11, and an inlet

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19 is formed integrally therewith. The internally tapered surface 17 is preferably coated with a suitable grease to prevent the first and second members locking up.

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The collar 12 comprises a cylindrical walled housing 20 with an internal transverse wall 21 towards one end thereof. The internal transverse wall 12 includes an opening 22 and there is an internal screw thread 23 on the casting which matches the external screw thread 18 on the second member. The outer surface of the collar 12 is grooved 29 (see Figure 3) to facilitate rotation by hand.

15 A plastic washer 24 locates between the collar 12 and a ledge 25 formed on the first member 10.

Figure 2 shows how the components of Figure 1 are fitted together in use. The arrangement permits 20 rotational movement of the attachment 27 relative to the inlet 19 without loosening of the collar 12. Should, however, the attachment 27 become loose due to continued pivoting over a period of time, it can readily be tightened by simply rotating the collar 12 by slight finger pressure.

Figure 3 shows the external view of the swivel joint and illustrates the pleasing aesthetic appearance as well as the easily manipulable collar 29.

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Figures 4, 5 and 6 illustrate an embodiment which is very similar to Figures 1, 2 and 3 but which differs in that a spring 30 is located between the tapered brass barrel 31 and the internal wall 21 of the collar. It will also be noted that the ledge 32 on which the spring seats is lower than in the former embodiment, and there is an internal washer.

The purpose of the spring is to apply a predetermined pressure on the tapered plug/seat sufficient to maintain a continuous tightness to the joint without the need to adjust the tension via the threaded collar 20. It is envisaged that this embodiment will not require the need for any adjustment at all, as this will be achieved by the spring. It can be seen that this in turn can do away with the need for the thread 23 on the collar and the thread 18 as long as the collar provides a means of anchoring the collar 12 to the barrel 11 and still allow for rotation.

Figures 7, 8 and 9 illustrate a modified version of the Figures 1, 2 and 3 embodiment in which the barrel 40 and the second member 41 are not tapered but are of a uniform cylindrical dimension. Thrust washers 42, 45 are included to prevent abrasive action respectively between the barrel lip 43 and the inner wall 44 of the collar and between the barrel lip 43 and the seat of the barrel 41.

Figures 10, 11 and 12 illustrate the second aspect of the invention wherein rotation occurs about the milled end faces 50, 51 of the respective first element 57 and second element 53. An o-ring 54 located in a groove is formed in the end face 51 ensures that an integral seal is formed between the end faces when they are clamped together by the collar 12 as shown in Figure 11. A thrust washer is shown at 56.

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Figures 13, 14 and 15 illustrate a modification of the embodiment shown in Figures 10, 11 and 12 wherein the o-ring 60 is now located in a groove 61 formed in the end face 62 of the first element 63 rather than in the end face 64 of the second element 66. A thrust washer is shown at 67.

Figures 16 and 17 illustrate a typical pivoting

shower head arrangement incorporating two swivel joints of one of the types previously described. arrangement consists of a shower head 70 which rotatable through 360° adjacent head its conventional pivoting joint 71. A first swivel joint 72 is provided adjacent the head 70 and a second swivel joint 73 is provided at the wall inlet 74. Both joints are separated by a conventional rigid arm 75 approximately 20-30cm in length.

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Such an arrangement enables all directional movement of the shower head without leakage at the joints or drooping of the head. Any slight loosening which occurs following repeated and continual adjustment by the shower heads can be readily corrected by rotating the knurled collars 67 in the appropriate direction by hand.

Whilst the above has been given by way of illustrative example of the invention, many modifications and variation may be made thereto by persons skilled in the art without departing from the broad scope and ambit of the invention as herein set forth.

## **AMENDED CLAIMS**

[received by the International Bureau on 18 December 2000 (18.12.00); original claim 1 amended; remaining claims unchanged (3 pages)]

- 1. A swivel joint for connecting to a water line in a plumbing accessory outlet comprising a first member having an externally milled barrel and a second member having an internally milled barrel, wherein said second member accommodates said first member in a leak-proof yet relatively rotatable relationship, and wherein the first and second members are held together by a collar.
- 2. A swivel joint as claimed in claim 1, wherein a recess is formed in the externally milled barrel of the first member which accommodates an o-ring.
- 3. A swivel joint as claimed in claim 2, wherein the barrel of the first member is tapered to facilitate assembly with the second member which has a complementary taper.
- 4. A swivel joint as claimed in claim 1, wherein 20 the second member is adapted for connecting to the fluid line and the first member is adapted for connecting to an attachment.
- 5. A swivel joint as claimed in claim 4, wherein the first member has a screw thread on one end which mates with an internal screw thread formed in the attachment.
- 6. A swivel joint as claimed in claim 4, wherein 30 the fluid line is a water line and the attachment is a shower head.
  - 7. A swivel joint as claimed in claim 1, wherein the first member is integrally formed on an attachment.
  - 8. A swivel joint as claimed in claim 7, wherein the attachment is a shower head.

- 9. A swivel joint as claimed in claim 1, wherein the second member is integrally formed on the fluid line.
- 10. A swivel joint as claimed in claim 1, wherein the collar is a cylindrically shaped housing.
  - 11. A swivel joint as claimed in claim 10, wherein the housing is designed for tightening and untightening by hand.

- 12. A swivel joint as claimed in claim 10, wherein the housing includes an internal wall, offset from one end of the housing, with an opening therethrough.
- 13. A swivel joint as claimed in claim 12, wherein the housing includes an internal screw thread to one side of the wall which is designed to mate with an externally threaded stem on the end of the first member extending through the opening.

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14. A swivel joint for connecting to a water line in a plumbing accessory outlet comprising a first element having a barrel with an externally projecting milled face, and a second element having a barrel with an externally projected milled face, wherein a resiliently deformable sealing member is accommodated in one of the faces, and the first and second elements are held together in face-to-face relatively rotatable relationship by means of a collar.

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- 15. A swivel joint as claimed in claim 14, wherein the resiliently deformable sealing member is an o-ring.
- 16. A swivel joint as claimed in claim 14, wherein 35 the o-ring is located in a recess formed in the face of the second element.
  - 17. A swivel joint as claimed in claim 14, wherein

# **AMENDED SHEET (ARTICLE 19)**

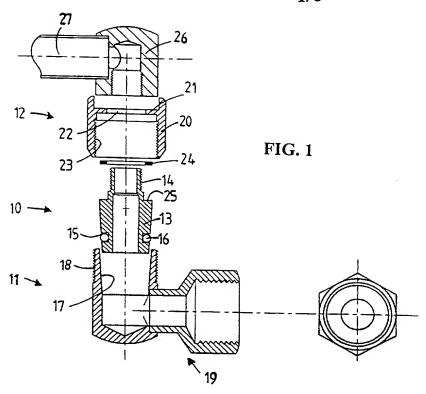
the second element is adapted for connecting to the fluid line and the first element is adapted for connecting to an attachment.

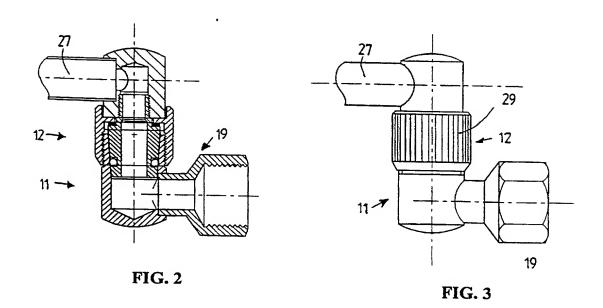
- 5 18. A swivel joint as claimed in claim 17, wherein the fluid line is a water line and the attachment is a shower head.
- 19. A swivel joint as claimed in claim 14, wherein 10 the collar is a cylindrically shaped housing.
  - 20. A swivel joint as claimed in claim 19, wherein the housing is designed for tightening and untightening by hand.
- 21. A swivel joint as claimed in claim 19, wherein the housing includes an internal wall, offset from one end of the housing, with an opening therethrough.

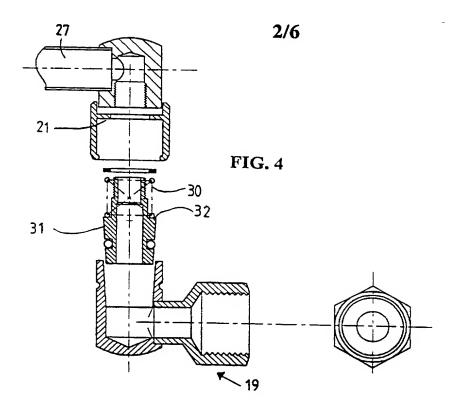
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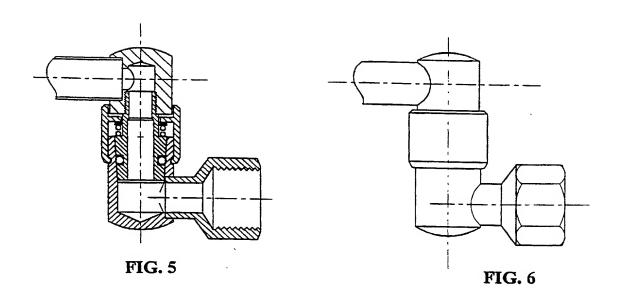
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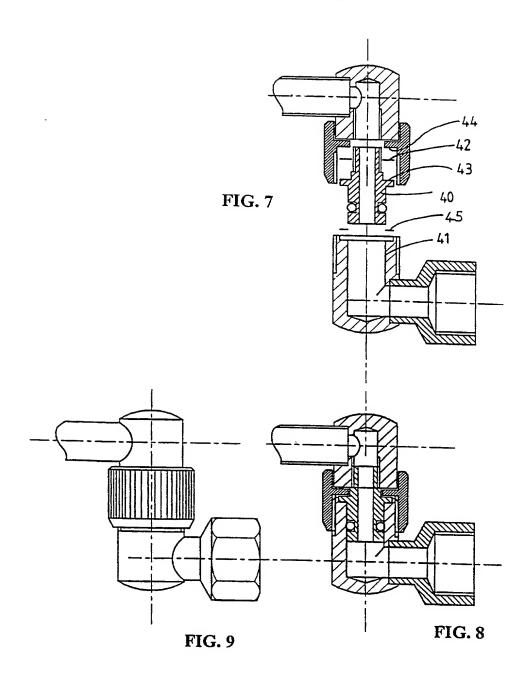






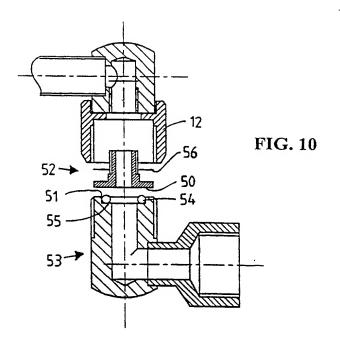


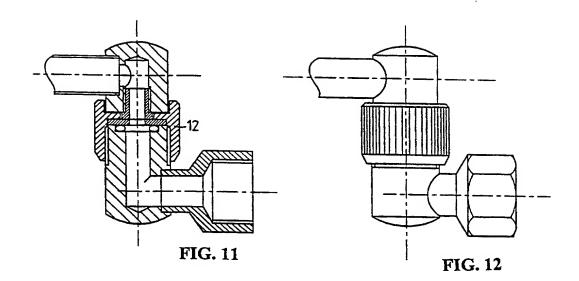




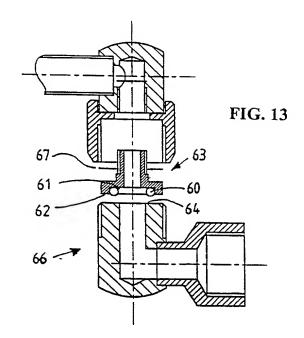
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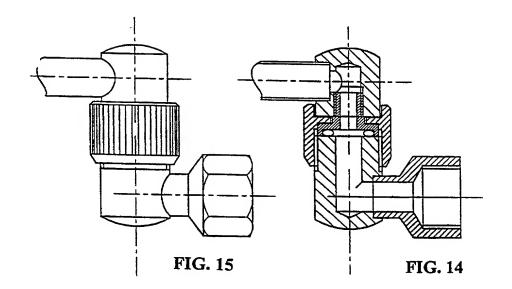
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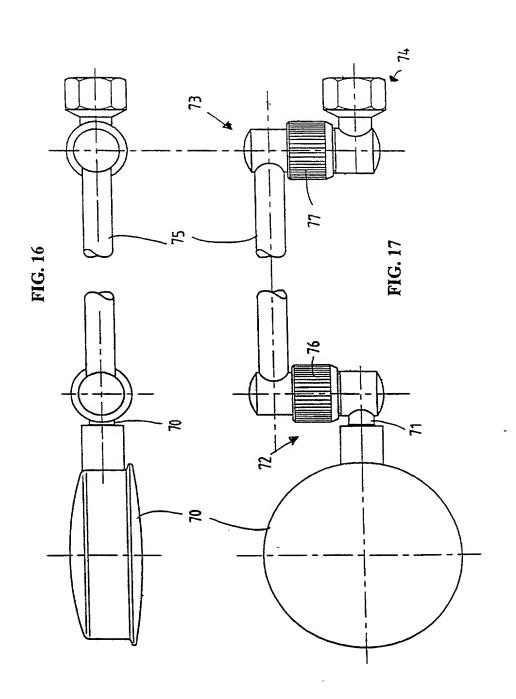








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Page 1

Attorney's Docket No. Gill 19.329

# **DECLARATION AND POWER OF ATTORNEY - USA PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and

	for which a patent is sought on the invention entitled											
Swivel Joint												
the specification of which:												
	(a)	☑ is atta	iched hereto; or									
THE PARTY OF THE P	(b)	Mail No	led on ., as Application N	as Applica o. not yet known (if applicable); or	ation No. 0/ and w	or Expres as amende	ss ed ·					
The Party Town II	(c)	amended und	escribed and claim er PCT Article 19 PCT Article 34on	ned in PCT Internation	onal Application		nd as any)					
I hereby state that I have reviewed and understand the contents of the above ident specification, including the claims, as amended by any amendment referred to above;												
Ann Ant Ant	l acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56;											
	application inventor than the	tion(s) for pate below and hav r's certificate o e United States	ent, design or inve ve also identified r any PCT internat	under Title 35, Unite entor's certificate or below any foreign ional application(s) or the same subject aimed:	r any PCT interr application(s) f designating at le	national ap for patent, east one co	plication(s) design or untry other					
PRIOR FOREIGN APPLICATION(S)												
		TRY (OR TE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month,	PRIORITY CLAI UNDER 37 U.S.	1						

year)

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I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, 1 acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

## Prior U.S.A. Application(s)

Application No.:

Filing Date:

Status:

POWER OF ATTORNEY: I hereby appoint the registrants of

Rosenman & Colin, of 575 Madison Avenue, New York, NY, 10022-2585, United States of AmericaCustomer No.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 100 1 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Robert W. Tritchler

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